



Arnold Schwarzenegger
Governor

February 16, 2010
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Mr. Jan Vogel, Executive Director
South Bay Workforce Investment Board
11539 Hawthorne Boulevard, Suite 500
Hawthorne, CA 90250

Dear Mr. Vogel:

AMERICAN RECOVERY AND REINVESTMENT ACT
PROGRAM REVIEW
FINAL MONITORING REPORT
PROGRAM YEAR 2009-10

This is to inform you of the results of our review for Program Year (PY) 2009-10 of the South Bay Workforce Investment Board (SBWIB) activities funded by the American Recovery and Reinvestment Act of 2009 (ARRA). We focused this review on the following areas: program administration, local level monitoring, management information system/reporting, incident reporting, nondiscrimination and equal opportunity, grievance and complaint system, and program operations including ARRA activities and participant eligibility.

This review was conducted by Mr. Larry Yanni from December 14, 2009, through December 17, 2009

Our review was conducted under the authority of Sections 667.400 (a) and (c) and 667.410 of Title 20 of the Code of Federal Regulations (20 CFR). The purpose of this review was to determine the level of compliance by SBWIB with applicable federal and state laws, regulations, policies, and directives related to the ARRA grant.

We collected the information for this report through interviews with SBWIB representatives, and service provider staff. In addition, this report includes the results of our review of sampled case files, SBWIB's response to Sections I and II of the ARRA Program On-Site Monitoring Guide, and a review of applicable policies and procedures for PY 2009-10.

BACKGROUND

For PY 2009-10, SBWIB was allocated: \$861,416 to serve 161 adult participants; \$1,841,947 to serve 774 youth participants; and \$1,628,837 to serve 182 dislocated worker participants.

For the quarter ending October 30, 2009, SBWIB reported the following expenditures for its ARRA funded activities: \$274,034 for adult participants; \$1,661,434 for youth participants; and \$468,396 for dislocated worker participants. In addition, SBWIB reported the following enrollments: 45 adult participants; 774 youth participants; and 100 dislocated worker participants. We reviewed case files for 28 of the 919 participants enrolled in ARRA funded activities as of December 17, 2009.

PROGRAM REVIEW RESULTS

We conclude that, overall, SBWIB is meeting applicable WIA requirements concerning its program operations.

This report contains no findings or concerns; therefore, we are issuing this report as the final report.

Because the methodology for our monitoring review included sample testing, this report is not a comprehensive assessment of all of the areas included in our review. It is SBWIB's responsibility to ensure that its systems, programs, and related activities comply with the WIA grant program, federal and state regulations, and applicable state directives. Therefore, any deficiencies identified in subsequent reviews, such as an audit, would remain SBWIB's responsibility.

Please extend our appreciation to your staff for their cooperation and assistance during our review. If you have any questions regarding this report or the review that was conducted, please contact Ms. Mechelle Hayes at (916) 654-1292.

Sincerely,



JESSIE MAR, Chief
Compliant Monitoring Section
Compliance Review Office

cc: Linda Patton-Finch, MIC 50
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